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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	10/057,826	
	<b>Filing Date</b>	January 24, 2002	
	<b>First Named Inventor</b>	Tucker, Charles E.	
	<b>Group Art Unit</b>	1625	
	<b>Examiner Name</b>	Celia C. Chang	
<b>Total Number of Pages in This Submission</b>	7	<b>Attorney Docket Number</b>	021153-001400US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Request for Corrected Filing Receipt (w/copy of Filing Receipt) Supplemental ADS Letter
<b>Remarks</b>		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP William B. Kezer Reg. No. 37,369
<b>Signature</b>	
<b>Date</b>	3-28-03

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<b>Signature</b>	
<b>Date</b>	3/28/03

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PATENT  
Attorney Docket No.: 021153-001400US

on 3/28/03

By: Genji K. Hadi

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Charles E. Tucker

Application No.: 10/057,826

Filed: January 24, 2002

For: **PROCESS FOR PREPARATION ON  
NONRACEMIC SYN-1-(4-HYDROXY-PHENYL)-2-(4-  
HYDROXY-4-PHENYL-PIPERIDIN-1-YL)-1  
PROPANOL COMPOUNDS**

Examiner: Celia C. Chang

Art Unit: 1625

LETTER

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

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Sir:

According to MPEP 601.05, enclosed is a Supplemental Application Data Sheet requesting correction of Assignment For Published Patent Application for the above-referenced application. Please correct the Assignee to read as follows:

**DSM CATALYTICA PHARMACEUTICALS, INC., MOUNTAIN VIEW, CA  
and PFIZER INC., NEW YORK, NEW YORK**

Also, enclosed is a Request For Corrected Filing Receipt.

Respectfully submitted,

William B. Kezer  
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200 /Fax: (415) 576-0300  
WBK/jkh

**Assignee Information**

Assignee Name:: DSM CATALYTICA PHARMACEUTICALS, INC.  
Street of mailing address:: 430 FERGUSON DRIVE  
City of mailing address:: MOUNTAIN VIEW  
State or Province of mailing address:: CA  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 94043

Assignee Name:: PFIZER, INC  
Street of mailing address:: 235 East 42nd Street  
City of mailing address:: New York  
State or Province of mailing address:: New York  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 10017

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on 3/28/03

PATENT  
Attorney Docket No.: 021153-001400US

By: Jennifer K. Hendri

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Charles E. Tucker and Qiongzong  
Jiang

Application No.: 10/057,826

Filed: January 24, 2002

For: **PROCESS FOR THE PREPARATION OF  
NONRACEMIC SYN-1-(4-HYDROXY-PHENYL)-2-(4-  
HYDROXY-4-PHENYL-PIPERIDIN-1-YL)-1-  
PROPANOL COMPOUNDS**

Examiner: Celia C. Chang

Art Unit: 1625

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REQUEST FOR CORRECTED FILING  
RECEIPT

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that of the Assignment For Published Patent Application has changed to two Assignees. Please correct the Filing Receipt to read as follows:

**DSM CATALYTICA PHARMACEUTICALS, INC., MOUNTAIN  
VIEW, CA and PFIZER INC, NEW YORK, NEW YORK**

The correction is due to applicant change in number of assignees. Applicants believe that no fee is due. However if fees are due please charge Deposit Account No. 20-1430. Please charge any additional fees or credit overpayment to the above-noted Deposit Account.

Respectfully submitted,

*William B. Kezer*

William B. Kezer  
Reg. No. 37,369

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, 8th Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200 /Fax: (415) 576-0300





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021153-001400US WBK

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/057,826	01/24/2002	1621	870	021153-001400US		20	3

CONFIRMATION NO. 4198

20350  
TOWNSEND AND TOWNSEND AND CREW, LLP  
TWO EMBARCADERO CENTER  
EIGHTH FLOOR  
SAN FRANCISCO, CA 94111-3834

UPDATED FILING RECEIPT



\*OC00000008459179\*

JUL 31 2002

TOWNSEND AND TOWNSEND  
AND CREW LLP

Date Mailed: 07/16/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Charles E. Tucker, Superior, CO;  
Qiongzong Jiang, Sunnyvale, CA;

**Assignment For Published Patent Application**

DSM CATALYTICA PHARMACEUTICALS, INC., MOUNTAIN VIEW, CA, 94041  
PFIZER INC., NEW YORK, NEW YORK

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 02/21/2002

Projected Publication Date: 07/24/2003

Non-Publication Request: No

Early Publication Request: No

**Title**

Process for the preparation of nonracemic syn-1-(4-hydroxy-phenyl)-2-(4-hydroxy-4-phenyl - piperidin-1-yl)-1-propanol compounds

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Preliminary Class

568

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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